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GP/2164
PATENT
Atty. Docket No. 35512-00056



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

M. Chapman Findlay III, et al.

Serial No.: 09/692,748

Filed: October 19, 2000

For: ASSET PRICE FORECASTING

Group Art Unit: 2164

Examiner: To Be Assigned

JLZ

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.99, Applicants bring to the attention of the Examiner the following references which the Examiner may consider to be material to examination of the subject application.

Copies of the references are submitted herewith along with Form PTO-1449.

Applicants respectfully request that the Examiner consider the enclosed references and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed

documents constitute "prior art". Applicants reserve the right to present to the Patent and Trademark Office relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

If there are any fees due in connection with the filing of this paper that have not been accounted for in this paper or the accompanying papers, please charge the fees to our Deposit Account No. 13-3735. If an extension of time under 37 C.F.R. 1.136 is required for the filing of this paper and is not accounted for in this paper or the accompanying papers, such an extension is requested and the fee (or any underpayment thereof) should also be charged to our Deposit Account. A duplicate copy of this page is enclosed for that purpose.

Respectfully submitted,

MITCHELL, SILBERBERG & KNUPP LLP

Dated: February 7, 2001

By


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